

McDAVID & COMPANY WEALTH MANAGEMENT SERVICES

Registered Investment Advisor

PRIVACY POLICY NOTICE OF MCDAVID & COMPANY WEALTH MANAGEMENT SERVICES

McDavid & Company Wealth Management Services has adopted this policy with recognition that protecting the privacy and security of the personal information we obtain about our clients is an important responsibility. We also know that you expect us to provide services to you in an accurate and efficient manner. To do so, we must collect and maintain certain personal information about you. We want you to know what information we collect and how we use and safeguard that information.

INFORMATION WE COLLECT

We collect certain nonpublic personal identifying information about you (such as your name, address, social security number, etc.) from information that you provide on financial planning questionnaires or other forms as well as communications (electronic, telephone, written or in person) with you or your authorized representatives (such as your attorney, accountant, etc.). We also collect information about your brokerage accounts and transactions (such as bank account information, purchases, sales, account balances, etc.).

INFORMATION WE DISCLOSE

We do not disclose the nonpublic personal information we collect about our clients to anyone except: (1) in furtherance of our business relationship with clients and then only to those persons necessary to provide the services that clients authorize (such as brokerage firms, custodians, independent money managers, etc.); (2) to persons assessing our compliance with industry standards (e.g., professional licensing authorities, etc.); (3) our attorneys and accountants; or (4) as otherwise provided by law.

We are permitted by law to disclose the nonpublic personal information about you to governmental agencies and other third parties in certain circumstances (such as third parties that perform administrative services on our behalf). These third parties are prohibited to use or share the information for any other purpose. If you decide at some point to terminate our services or become an inactive client, we will continue to adhere to our privacy policy, as may be amended in the future.

SECURITY OF YOUR INFORMATION

We restrict access to your nonpublic personal information to employees and other parties who must use that information to provide services to you. We maintain physical, electronic and procedural safeguards that comply with applicable federal or state standards to protect your nonpublic personal information.

CHANGES TO OUR PRIVACY POLICY

Our policy about obtaining and disclosing information may change in the future. We will inform you of any material change to this policy before we implement the change.